

## FIBER BUNDLES AND KALUZA-KLEIN THEORY

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## ABSTRACT

A new geometrical framework for dimensional reduction is presented. For a  $G/H$  Kaluza-Klein theory it predicts a reasonably big effective gauge group  $G_{\text{eff}}$  which (locally) is a product of  $N(H)/H$  and  $G/C$ , where  $N(H)$  is the normalizer of  $H$  and  $C$  is the center of  $G$ .

## 1. INTRODUCTION

The works of Kerner<sup>1)</sup>, Trautman<sup>2)</sup>, Cho<sup>3)</sup>, Cho and Freund<sup>4)</sup>, laid foundations for a geometrical understanding of a unified description of gravity and (non-Abelian) gauge theory. The idea developed in these papers was to generalize the 5-dimensional Kaluza-Klein theory, and to consider the principal bundle on which gauge fields live not as an auxiliary geometrical object but as a true arena where "real" physics takes place. Thus four-dimensional space-time was replaced by an "extended universe" which locally looked like a product  $M \times G$  of space-time  $M$  and an internal space isomorphic to the group manifold of a compact Lie group  $G$  (however, non-compact groups may be also relevant). With an appropriate "Ansatz" or by imposing certain geometrical constraints one could show that gravity in  $4+n$  dimensions ( $n = \dim G$ ) splits into gravity, Yang-Mills field and, possibly, some scalar fields in 4 dimensions. The effective gauge group of the resulting theory in four dimensions is again  $G$  (although Higgs mechanisms involving scalar fields could reduce it to a smaller one). That simple method of unification had to come

to end with observation that there must be a reasonable compromise between the two opposing tendencies:  $n$  should be big enough to accommodate for at least  $SU(3) \times SU(2) \times U(1)$  gauge fields, but  $n$  should be small enough not to produce particles of spin higher than 2 in the effective particle spectrum of the dimensionally reduced theory. Witten<sup>5)</sup> analysed the situation in supergravity and found that a viable compromise is possible by assuming that the internal space is a homogeneous space  $G/H$  rather than the group  $G$  itself<sup>\*</sup>). From that time on quite a number of models of this type has been studied. What was lacking was a clear geometrical understanding of the "Ansatz", understanding comparable to that achieved in the principal bundle case. Percacci and Randjbar-Daemi<sup>7)</sup> attempted to close this gap but their proposal did not seem natural. In [8] Coquereaux and the present Author proposed a simple and natural model of dimensional reduction of gravity. The machinery developed in that paper was later used<sup>9,10)</sup> to improve the results of Forgacs and Manton<sup>11)</sup> (see also [12,13]) on symmetric Yang-Mills fields. The only bad thing with [8] was that the predicted effective gauge group was not  $G$  as expected. The "coefficient of effectiveness" as measured by the ratio (dim. of the effective gauge group)/(dim. of the internal space) was in fact smaller than one. In this lecture a possible solution to this dilemma is proposed. I will suggest a new model, more general than that of ref.[8], which allows for the effective gauge group big enough to satisfy the needs: for a  $G/H$  internal space the effective gauge group is (locally) a product  $(N(H)|H) \times (G|C)$ .

## 2. AN ILLUSTRATIVE EXAMPLE: THE KLEIN BOTTLE AND THE TORUS

Consider the following two simple models of the extended universe: The torus and the Klein-bottle (see Fig.1). Both, the Klein-bottle and the torus, are  $S^1$  fibrations over  $S^1$ . Internal spaces are circles and space-time (base of the fibration) is a circle in these simplest of the nontrivial examples. Both carry a flat Riemannian metric (ground-state metric) inherited from the piece of  $\mathbb{R}^2$  from which they are glued (the gluings of the end circles in Fig.1. are supposed to respect the depic-

<sup>\*</sup>) Already in 1959 Souriau<sup>6)</sup> proposed to use  $S^2$  as an internal space for  $SU(2)$  gauge field.

ted senses of rotation; that causes well known difficulties when attempted in  $\mathbb{R}^3$ ). The local internal symmetry group ("internal" means it does not affect the base points) of the ground state metric is in both

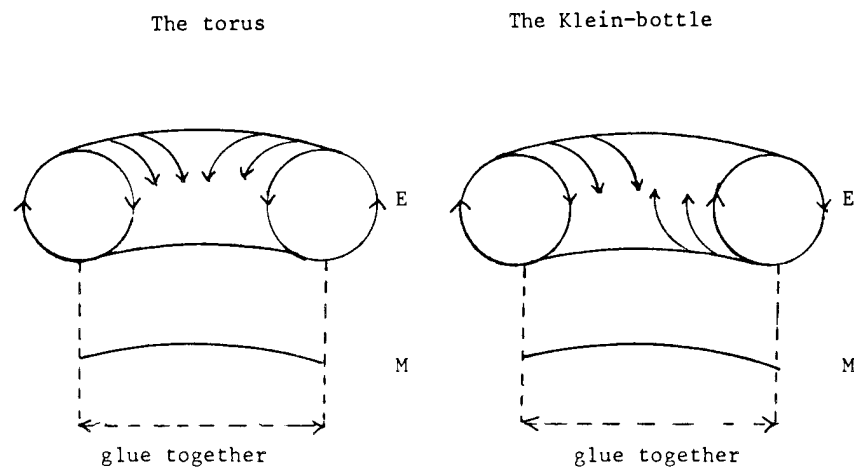


Fig.1.

Fig.1.

cases the same: it is  $U(1)$ . However, and this is the difference which is crucial for us, *the  $U(1)$  acts globally on the torus but can not be made to act globally on the Klein-bottle* (the best proof of this fact is to try and see that it can not be done - see the arrows in the figure). Being locally indistinguishable from the torus, the Klein-bottle seems to be a legitimate candidate for a model of the extended universe. But it does not satisfy the most important assumption of ref.[8]: the requirement of a global group action.

Before we shall formulate assumptions of a scheme more general than that of ref.[8] let us first list some of the properties that both Klein-bottle and the torus have in common. Let  $E$  denote one or another and let  $M$  be the base circle;  $x$  and  $x'$  are points of  $M$ , and  $E_x$  denotes the fiber of  $E$  over  $x$ .

-  $E$  is a fibration over  $M$

- each fiber  $E_x$  has a transitive group of isometries  $G_x$
- the groups  $G_x$  and  $G_{x'}$  acting on different fibers are isomorphic although a natural isomorphism need not to exist; in the Klein-bottle case there is no way to tell whether two rotations, one at  $x$  and another at  $x'$ , have the same sense.
- it is however possible to set up a local convention and to identify the  $G_x$ -s with  $U(1)$  over an open neighborhood of every point of  $M$ ; an example of such a local identification is provided by requiring that the  $U(1)$  should act locally by isometries of the given ground-state metric of  $E$  (e.g. the flat metric).

### 3. A NEW MODEL

Let us now make use of the lesson of the Klein-bottle case and let us try to formulate a general scheme on the basis of the properties listed above.

We assume  $E$  to be a fibration  $\pi_E : E \rightarrow M$ , and for each  $x \in M$  let  $G_x$  be a (compact Lie) group acting transitively on  $E_x$  - the fiber of  $E$  over  $x$ . In application to Kaluza-Klein theory  $G_x$  will be the isometry group of a certain metric on  $E_x$ . All the groups  $G_x$ ,  $x \in M$ , are assumed to be isomorphic to a standard one, say  $G$ , although no particular isomorphism is being distinguished at the beginning. In the Klein-bottle case, for example, there is a  $Z_2$ -ambiguity in identifying a rotation of a fibre with an element of  $U(1)$ , and this ambiguity cannot be consistently removed by a smooth global convention. To describe the situation more precisely we introduce the *bundle of groups*  $\mathbb{G} = \cup_x G_x$ , and  $E$  is assumed to be locally trivial (see below). The actions of  $G_x$  on  $E_x$ , when  $x$  runs over  $M$ , give rise to a bundle map

$$E \times \mathbb{G} \rightarrow E$$

$$(y, a) \rightarrow ya, \quad a \in E_x, \quad a \in G_x.$$

Locally  $E$  is assumed to look like  $E \times (\mathbb{H}G)$ . The fact that locally we can describe the situation as acting with  $G$  on  $\mathbb{H}G$  is expressed by the fol-

lowing fundamental postulate:

*Local Triviality:* There is an open covering  $(U_\alpha)$  of  $M$  and, for each  $\alpha$ , there are

$$\phi_\alpha : \pi_E^{-1}(U_\alpha) \rightarrow U_\alpha \times (\mathbb{H}G)$$

$$\psi_\alpha : \pi_{\mathbb{G}}^{-1}(U_\alpha) \rightarrow U_\alpha \times G$$

( $\phi_\alpha$  and  $\psi_\alpha$  are assumed to be diffeomorphisms and are called local trivializations of  $E$  and  $\mathbb{G}$  respectively) such that  $\phi_\alpha$  restricts to group isomorphisms  $G_x \rightarrow G$  of the fibers, and

$$\psi_\alpha(ya) = \psi_\alpha(y)\phi_\alpha(a) \quad (3.1)$$

for all  $y \in E_x$ ,  $a \in G_x$ ,  $x \in U_\alpha$ . The product on the right hand side is understood as  $(x, [a])(x, b) = (x, [a]b)$ , where  $[a] = Ha \in (\mathbb{H}G)$  and  $b \in G$ .

On the intersection of two trivializing neighborhoods  $U_\alpha$  and  $U_\beta$  we get transition maps  $\psi_{\alpha\beta} : (\mathbb{H}G) \rightarrow (\mathbb{H}G)$  and  $\phi_{\alpha\beta} : G \rightarrow G$ . By the very definition  $\phi_{\alpha\beta}$  is an automorphism of  $G$  and  $\psi_{\alpha\beta}$  satisfies a condition analogous to (3.1). This motivates the following definition:

*Definition* A pair  $(\phi, \psi)$  of maps  $\phi : G \rightarrow G$  and  $\psi : (\mathbb{H}G) \rightarrow (\mathbb{H}G)$  is called a *twisted automorphism* of  $\mathbb{H}G$  if

- i)  $\phi$  is an automorphism of  $G$
- ii)  $\psi([a]b) = \psi([a])\phi(b)$ .

The set of all twisted automorphisms of  $(\mathbb{H}G)$  is a group under composition of maps. This group will be denoted  $\text{Taut}(\mathbb{H}G)$ .

In the following we shall always assume that  $\mathbb{H}G$  is an *effective homogeneous space* i.e. that the action of  $G$  on  $\mathbb{H}G$  is effective. If this is the case then the map  $\phi$  is completely determined by  $\psi$  and the property ii). According to the definition of a "coordinate bundle" by Steenrod<sup>14)</sup>,  $\mathbb{G}$  and  $E$  are such bundles with structure groups  $\text{Aut}G$  and

Taut( $H \setminus G$ ) respect. In a canonical way one can construct then the associated principal bundles (see ref.[14,§8.1]) denoted  $(P, M, \text{Aut})$  and  $(Q, M, \text{Taut})$ , so that  $\mathbb{E}$  and  $E$  can be also considered as bundles associated to  $P$  and  $Q$  respectively:  $\mathbb{E} = P \times_{\text{Aut}G} G$ ,  $E = Q \times_{\text{Taut}(H \setminus G)} (H \setminus G)$ .

These are the rudiments of the new model. In the next Section we shall elaborate a little bit on some of its properties. But first let us see how does the rigid model of ref.[8] is a particular case of the one presented above. Assume  $P$  is a *trivial* principal bundle. It means it has a global cross-section  $\sigma : M \rightarrow P$ . This allows us to define the global (right) action  $R_\sigma$  of  $G$  on  $E$  by

$$R_\sigma(a)y \doteq y(\sigma(x) \cdot a), \quad x \in \pi_E^{-1}(y),$$

where  $\sigma(x) \cdot a \in \mathbb{E} \cong P \times_{\text{Aut}G} G$ . (Of course with a change of  $\sigma$  the associated action of  $G$  on  $E$  will change accordingly).

#### 4. THE EFFECTIVE GAUGE GROUP

We have seen how, starting with natural assumptions concerning the structure of  $E$ , a principal bundle  $Q$  with structure group Taut( $H \setminus G$ ) can be constructed. It is this kind of analysis that was lacking in ref.[7] where structure group  $G$  was guessed<sup>\*</sup>). The group Taut( $H \setminus G$ ) introduced in Sec.3 is the biggest possible effective gauge group allowed by geometry. In the following we will denote it also as  $G_{\text{eff}}$ . Let us list the most important properties of the group  $G_{\text{eff}}$ ; these are

1°  $N(H)|H$  - the effective gauge group of ref.[8] - is an invariant subgroup of  $G_{\text{eff}}$ . In fact we have the exact sequence

$$1 \rightarrow \text{Aut}(H \setminus G) \rightarrow \text{Taut}(H \setminus G) \rightarrow \text{Aut}_{(H)}G \rightarrow 1$$

where  $\text{Aut}(H \setminus G) = N(H)|H$  (see ref.[8]), and  $\text{Aut}_{(H)}G$  consists of those automorphisms  $\phi$  of  $G$  for which  $\phi(H)$  is conjugated to  $H$  (in particular

<sup>\*</sup>) For another guess see e.g. ref.[15], where the Authors suggest the group  $G \times H$  ! See however the end remark of this Section.

$\text{Aut}_{(H)}G$  contains all inner automorphisms). In fact the bundle  $P$  of Sec.3 has structure group  $\text{Aut}_{(H)}G$ , and can be also constructed as the quotient of  $Q$  by  $N(H)|H$ .

2° Let  $\bar{G}$  be the semidirect product of  $\text{Aut}G$  and  $G$

$$\bar{G} \doteq \text{Aut}G \ltimes G$$

and let  $\bar{H} \doteq I \times H \subset \bar{G}$ . Then we have the following natural isomorphisms

$$\text{i) } \text{Taut}(H \setminus G) \cong N(\bar{H})|\bar{H}$$

$$\text{ii) } \text{Aut}G \times (H \setminus G) \cong \bar{H} \setminus \bar{G}$$

$$\text{iii) } H \setminus G \cong H \setminus \bar{G} / \text{Aut}G - \text{a double coset}$$

3° Locally we have

$$G_{\text{eff}} \cong \text{Taut}(H \setminus G) \stackrel{\text{loc}}{\cong} (N(H)|H) \times (G|C)$$

where  $C$  is the center of  $G$

The following comments are relevant:

Remark 1 There is a simple method which allows us to obtain the bundle  $E$  as discussed above with the help of constructions known already from ref.[8]. Namely, having  $\bar{G}$ ,  $\bar{H}$ , and  $Q$  which (by i) is a principal bundle with structure group  $N(\bar{H})|\bar{H}$ , we can construct, with the methods of ref.[8], the associated bundle  $\bar{E}$  with typical fiber  $\bar{H} \setminus \bar{G}$ . The group  $\bar{G}$  acts globally on  $\bar{E}$  (from the right). This is the situation known from ref.[8]. Now,  $\text{Aut}G$  is a subgroup of  $\bar{G}$ , and we can take the quotient bundle  $\bar{E}/\bar{G}$ . Then, by iii), this quotient bundle is naturally isomorphic to  $E$ :

$$E \cong \bar{E} / \text{Aut}G.$$

This suggests us the class of interesting metrics on E: those which are images of  $\bar{G}$ -invariant metrics on  $\bar{E}$ . It is clear then from the results of ref.[8] that this class of metrics give rise to gauge fields with gauge group  $N(\bar{H})|\bar{H} \equiv G_{\text{eff}}$  (recall that E is a bundle associated to Q)\*). Thus  $G_{\text{eff}}$  is not only geometrically allowed, but also kinematically available.

*Remark 2* If G is semisimple then G is (locally) contained in  $G_{\text{eff}}$  (see 3°). But even if G has central U(1) factors, if they are not in H (which is anyway excluded by the assumption of effectiveness of  $H \curvearrowright G$ ) then they contribute to  $G_{\text{eff}}$  via  $N(H)|H$ . Below are three simple examples of G, H and  $G_{\text{eff}}$  (all equalities are local)

- a)  $G = U(1)$ , H trivial, the case of 5-dimensional Kaluza-Klein theory. Then  $\text{Aut}(G)$  is trivial ( $=Z_2$ ),  $N(H)|H = U(1)$ . Thus  $G_{\text{eff}} = U(1)$
- b)  $G = SO(8)$ ,  $H = SO(7)$ ,  $H \curvearrowright G = S^7$ .  $\text{Aut}(G) = SO(8)$ ,  $N(H)|H$  is trivial ( $=Z_2$ ). Thus  $G_{\text{eff}} = SO(8)$  - the isometry group of the round seven-sphere
- c)  $G = U(2;H)$ ,  $H = U(1;H)$ ,  $H \curvearrowright G = S^7$ ,  $\text{Aut}G = U(2;H) = SO(5)$ ,  $N(H)|H = U(1;H) = SU(2)$ . Thus  $G_{\text{eff}} = SO(5) \times SU(2)$  - the isometry group of the squashed seven-sphere (see ref.[17-18]).

These few examples show us that the formula for  $G_{\text{eff}}$  given by 2° i) and 3° predicts a reasonable effective gauge group even without any particular dynamical model. As we have already remarked, for concrete models the Higgs mechanism can break a part of  $G_{\text{eff}}$ .

*Remark 3* The bundle P will be, in general, non-trivial. Nevertheless one can expect that the ground-state metric of E will give rise to a *flat* principal connection in P. In that case although a *global* cross-section  $\sigma$  of P does not exist, there are *distinguished local* cross-sections: the *horizontal* sections. Transition functions between these sections are then *constant*. Thus different actions of G on E as defined by

\*) In the particular case of trivial H, E becomes a "weak principal bundle", and the induced  $G_{\text{eff}}$ -connection becomes a "weak principal connection", according to the terminology of ref.[16].

these cross-sections (see end of Sec.3) are related by *constant* group automorphisms. This enables one to apply the equivariant techniques of ref.[19] also to the present, more general, case.

*Remark 4* Castellani, Romans and Warner<sup>20)</sup> analysed Killing vectors on coset spaces and indicated  $N(H)|H \times G$  (with a correction for common U(1)-factors) as a maximal gauge group for a given realization of a coset. This statement essentially agrees with  $G_{\text{eff}}$  as derived above by a careful analysis of fiber bundle structure of the Kaluza-Klein theory. To see the relation between the two groups observe that every pair  $(n,r)$  with  $n \in N(H)|H$  and  $r \in G$  determines an element  $(\phi, \psi) \in \text{Taut}(H \curvearrowright G)$  given by  $\phi(a) = rar^{-1}$ ,  $\psi([a]) = [nar^{-1}]$ , and in the connected neighborhood of the identity every element of  $\text{Taut}(H \curvearrowright G)$  is of this form.

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RELATION BETWEEN GROUP CONTRACTION AND  
NON-LINEAR REALIZATIONS

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ABSTRACT

Non linear realizations of the invariance group are recovered by means of contraction of group representations in spontaneously broken symmetry theories. A detailed analysis of Goldstone model, SO(n) vector model and SU(2) doublet model is given. Extension to gauge theories is finally presented.