

GEOMETRY OF INDEFINITE-METRIC SPACES

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I. Introduction and summary

It has been long time up to now the physicists began searching for a new mathematical structure, sufficiently capacious to contain those important quantities which cannot find enough room in a standard Hilbert space. An indefinite-metric space is one of the possible extensions of the standard structure. Unfortunately, so far one could hardly find a rigorous mathematical theorem dealing with the indefinite metric and known to the physicists. In the present paper we start with a detailed analysis of some aspects of geometry of indefinite-metric spaces. We do not attempt to discuss any possible physical interpretation of the arising structure. It should be clear from the foregoing paper that one cannot hope to provide a physical interpretation to all the vectors. A solution proposed in [6] leads to such phenomena like the dependence of averages not only on states and observables but also on abelian algebras that contain the observables in question. A similar possibility has been discussed by Bell [2] in connection with hidden variables. That is why we consider the results in [6] negative. On the other hand, there is no reason to demand all the states to be physical and also, there is no reason to force all the relevant operators to transform a physical subspace into itself. A mathematical theory of the spectral decomposition of hermitian operators in indefinite-metric spaces may be thus of some interest. This is the main object of the present paper.

The paper consists of five sections. In Section II we singled out, in a concise manner, all the essential properties of general self-dual vector spaces. Section III deals with a very special class of these spaces, J -spaces. Given a complex vector space X with a non-degenerate, sesquilinear hermitian form (x, y) we define a subset $\mathcal{J}(X)$ of the set $\mathcal{L}(X)$ of all continuous, linear operators on X as follows: a hermitian and unitary operator J is in $\mathcal{J}(X)$ if and only if $(x, y)_J = (x, Jy)$ is a Hilbert-space scalar product on X . We show that for each $J \in \mathcal{J}(X)$, $\mathcal{L}(X)$ coincides with $\mathcal{L}(X_J)$ as an algebra. Equipped with any J -norms, $\mathcal{L}(X)$ is a Banach $*$ -algebra. We consider the case of $\mathcal{J}(X)$ consisting of at least

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two elements. This is the definition of a J -space. It is shown that there exists a metric d on $\mathcal{J}(X)$ such that $\|x\|_J \leq \exp [d(J, J')] \|x\|_{J'}$, $\mathcal{J}(X)$ is a complete metric space. In fact, our metric is simply related to the perturbation radius of J, J' , which in our case is easily seen to be given by $\|J - J'\|_J$. We show that the unitary group of X acts on $\mathcal{J}(X)$ transitively and preserves the metric. It is of some interest that $\mathcal{J}(X)$ turns out to be highly non-commutative — none two J 's commute. For every pair J, J' in $\mathcal{J}(X)$ there exists an "exchange operator" $U(J, J') \in \mathcal{J}(X)$ such that $U(J, J')J = J'U(J, J')$. It is possible to show that the classes of Hilbert-Schmidt and trace operators, for different J in $\mathcal{J}(X)$, coincide. The trace is J -independent. Not all the results of this section are new. We preferred, however, to express all the results in a language that seemed to be a most appropriate one for our purpose.

In Section IV we search for necessary and sufficient conditions for a hermitian operator on a J -space to have a spectral decomposition in terms of projections (hermitian idempotents in $\mathcal{L}(X)$). It is firstly shown that for every σ -complete Boolean algebra \mathcal{B} of projections there exists a $J \in \mathcal{J}(X)$ commuting with \mathcal{B} . In other words, \mathcal{B} is a Boolean algebra of J -hermitian idempotents on a Hilbert space X_J . It follows that a necessary and sufficient condition for a hermitian operator A to have a spectral decomposition is $\mathcal{J}_A = \{A \in \mathcal{J}(X) : JA = AJ\} \neq \emptyset$. An equivalent condition is that the orbits of $\{\exp [tA]\}_t$ on $\mathcal{J}(X)$ are bounded. We call such a hermitian operator *elliptic*. By the result of Wermer (based on Mackey's idea) it is shown that the sums and products of a finite number of commuting elliptic operators are elliptic. The set of all elliptic operators is thus a partial algebra in the sense of Kochen and Specker [2]. At the end of Section 4 a preliminary discussion of unitary representations of locally compact groups on a J -space is given. A representation $G \rightarrow \{V_g\}$ is irreducible if the only projections commuting with $\{V_g\}$ are trivial ones. It is shown that if G is amenable and the orbits of G on $\mathcal{J}(X)$ are bounded, then there is a fixed point in $\mathcal{J}(X)$. In particular, it is shown that every unitary representation of a compact group is reducible.

In Section 5 we single out some unsolved problems. The most important one is: *does there exist a unitary, irreducible representation of the Poincaré group with generators of translations being non-elliptic (or, equivalently, with unbounded orbits of translations on $\mathcal{J}(X)$)?* Such a representation, in case it exists, would be very attractive from a physical point of view. The mass-operator may have a non-trivial spectrum in an irreducible representation.

II. Self-dual vector spaces

In this section we give a concise review of the most important, general properties of self-dual vector spaces. For the sake of completeness short proofs of most of the statements are also included.

Let X be a complex vector space, and let (x, y) be a sesquilinear, hermitian and non-degenerate form on X :

(i) (x, y) is linear in y for every $x \in X$;

(ii) $(x, y) = \overline{(y, x)}$ for all x, y in X ;

(iii) $(x, y) = 0$ for all $x \in X$ implies $y = 0$.

For every x in X , let f_x be a linear form on X , defined by $f_x(y) = (x, y)$. The weak topology T_w is now defined by the family of seminorms

$$p_N(x) = \sup \{ |f_y(x)| : y \in N \},$$

where N is any finite sequence of vectors. A sequence $\{x_\alpha\}_{\alpha \in A}$, where A is a directed set of indices, is weakly convergent to x if and only if $(x_\alpha, y) \rightarrow (x, y)$ for every $y \in X$. By (iii), a limit, if exists, is unique and we write $w.\lim x_\alpha = x$ in this case. All linear forms f_x are now weakly continuous by the very definition. X equipped with the weak topology is a locally convex, Hausdorff vector space.

PROPERTY 2.1. *If f is a weakly continuous linear form on X , then there exists a unique $x \in X$ such that $f = f_x$.*

Proof: See [3], Ch. IV, § 1, sec. 2, Proposition 1. \square

By the above property we may identify X with its weak dual. Therefore X is said to be a *self-dual* vector space.

DEFINITION 2.1. A locally convex Hausdorff topology T on X is said to be *compatible with the scalar product* provided every linear form f on X is T -continuous if and only if f is weakly continuous (i.e. $f = f_x$ for some $x \in X$).

PROPERTY 2.2. *If T is compatible with the scalar product then T is stronger than T_w .*

Proof: If $x_i \xrightarrow{T} x$ then $(x_i, y) \rightarrow (x, y)$ and thus $x_i \xrightarrow{w} x$. \square

DEFINITION 2.2. For every subset C of X , the *orthogonal complement* C^\perp is defined by

$$C^\perp = \{x \in X : (x, y) = 0 \ \forall y \in C\}.$$

Clearly, C^\perp is always a weakly closed, linear subspace of X . The condition (iii) above is equivalent to $X^\perp = 0$.

PROPERTY 2.3. *$C \subset D$ implies $D^\perp \subset C^\perp$ and $C^{\perp\perp} \subset D^{\perp\perp}$. For every C , $C \subset C^{\perp\perp}$ and $C^\perp = C^{\perp\perp} = \dots$ If Y is a linear subspace of X , then $Y^\perp \cap Y^{\perp\perp} = (Y + Y^\perp)^\perp$.*

Proof: Straightforward, from the definition of " \perp ". \square

PROPERTY 2.4. *If Y is a linear subspace of X , then the closure \bar{Y} of Y is the same for every topology compatible with the scalar product. Y is closed if and only if $Y = Y^{\perp\perp}$. Y is dense in X if and only if $Y^\perp = 0$.*

Proof: See [3], Ch. IV, § 2, Sec. 3, Cor. 2. \square

We shall say that a linear subspace Y is *closed* (without referring to the topology) (resp. *dense*) if $Y = Y^{\perp\perp}$ (resp. $Y^\perp = 0$).

DEFINITION 2.3. A closed linear subspace Y of X is called *non-degenerate* if $Y \cap Y^\perp = \emptyset$. A non-degenerate subspace Y is called *regular* if $Y + Y^\perp = X$. $\mathcal{B}(X)$ is the set of all regular linear subspaces of X .

Let us observe that if Y is non-degenerate, then $Y + Y^\perp$ is dense in X . But it does not follow that $Y + Y^\perp$ is closed. We also notice that a one-dimensional subspace, spanned by some $x \in X$ is non-degenerate if and only if $(x, x) \neq 0$. It follows immediately from the definition that $Y^\perp \in \mathcal{B}(X)$ if and only if $Y \in \mathcal{B}(X)$. Clearly, $\mathcal{B}(X)$ is a partially ordered set ($Y \leq Z$ if and only if $x \in Y$ implies $x \in Z$) and $Y \leq Z$ if and only if $Z^\perp \leq Y^\perp$. We also have $0 \in \mathcal{B}(X)$ and $X \in \mathcal{B}(X)$. We denote by $\vee Y_\alpha$ (resp. $\wedge Y_\alpha$) a least upper bound (resp. a greatest lower bound) for a family $\{Y_\alpha\}$ of regular subspaces provided it exists in $\mathcal{B}(X)$.

EXAMPLE. We denote by $X_{n,m}$ an n -dimensional complex self-dual space with a signature m . Clearly, if $n=m$, then $\mathcal{B}(X_{n,m})$ is a lattice. Also $\mathcal{B}(X_{2,0})$ is a lattice. Let us consider $\mathcal{B}(X_{3,m})$. If Y and Z are two different elements of $\mathcal{B}(X_{3,m})$, then either $Y \cap Z = 0$ or $Y \cap Z \neq 0$. If $Y \vee Z = 0$ and, say, Y is two-dimensional, then $Y \vee Z = X$. If Y and Z are both one-dimensional, then either $Y \vee Z$ exists and is two-dimensional or there is no two-dimensional regular subspace containing Y and Z . In the last case $Y \vee Z = X$. If $Y \cap Z \neq 0$, then either, say, $Y = Z$ and then $Y \vee Z = Z$ or, say, Y is one-dimensional, and then $Y \vee Z = Z$. Finally, Y and Z may be both two-dimensional. In this case $Y \vee Z = X$. We conclude that $\mathcal{B}(X_{3,m})$ is a lattice.

Let us consider $\mathcal{B}(X_{4,0})$. In a given orthogonal frame (x, y) is of the form $(+, +, -, -)$. Let $a = (1, 0, 0, 0)$, $b = (1, 1, 1, 0)$ and $c = (0, 0, 1, 0)$, $d = (0, 1, 0, 1)$. It is easy to see that a, b, c, d are linearly independent. Let Y_a and Y_b be two linear subspaces spanned by a and b , respectively. Now Y_a and Y_b are both regular. On the other hand, $b - a$ is orthogonal to both Y_a and Y_b . It follows that there is no two-dimensional regular subspace containing Y_a and Y_b . Since Y_1 , spanned by a, b, c and Y_2 spanned by a, b, d are both regular, we conclude that $\mathcal{B}(X_{4,0})$ is not a lattice. A similar example can be given for $X_{4,2}$.

It follows that for a self-dual space X which is at least 4-dimensional (complex or real) and contains two vectors y_1, y_2 with $(y_1, y_1) = -(y_2, y_2) \neq 0$, $\mathcal{B}(X)$ is not a lattice.

Let A be a linear operator defined on a dense domain $D(A)$. We set

$$D(A^*) = \{x \in X : \exists x^* \in X \text{ with } (x, Ay) = (x^*, y) \forall y \in D(A)\}.$$

It is easy to see that $A^* : x \rightarrow x^*$ is a uniquely defined, linear operator on $D(A^*)$. If $D(A) = X$ and A is weakly continuous, then $D(A^*) = X$ and A^* is weakly continuous. It follows that the set $\mathcal{L}(X)$ of all weakly continuous operators on X is a $*$ -algebra.

PROPERTY 2.5. Let A be a linear operator with $D(A) = X$. Then $A \in \mathcal{L}(X)$ if and only if there exists B such that $(Ax, y) = (x, By)$ for all $x, y \in X$. In this case, also $B \in \mathcal{L}(X)$ and $B = A^*$. In particular, if A satisfies $(Ax, y) = (x, Ay)$ for all x, y in X , then $A \in \mathcal{L}(X)$ and $A = A^*$.

Proof: See [3], Ch. IV, § 4, Sec. 1, Prop. 1. \square

DEFINITION 2.4. $A \in \mathcal{L}(X)$ is said to be:

hermitian if $A = A^*$,

unitary if $AA^* = A^*A = 1$,

a projection if $A = A^* = A^2$, and $D(A) = X$.

PROPERTY 2.6. If E is a projection, then $Y = EX$ is regular. Conversely, if $Y \in \mathcal{B}(X)$, then there is a unique projection E such that $Y = EX$. In this case $Ex = x$ if and only if $x \in Y$ and $Ex = 0$ if and only if $x \in Y^\perp$. We have $(I - E)X = Y^\perp$ and $I - E$ is also a projection.

Proof: $Y = EX$ is a closed linear subspace as a range of a continuous idempotent. Now, $x \in Y$ if and only if $x = Ey$ for some $y \in Y$, i.e. if and only if $x = Ex$. Since $E = E^*$, it follows that $x \in Y^\perp$ if and only if $Ex = 0$. For $x \in Y \cap Y^\perp$, $x = Ex = 0$ and so Y is non-degenerate. Clearly, $(I - E)X = Y^\perp$ and thus $Y \in \mathcal{B}(X)$. Conversely, if $Y \in \mathcal{B}(X)$, then for every $x \in X$ we have $x = x_1 + x_2$ with $x_1 \in Y$ and $x_2 \in Y^\perp$. Let $E: x \rightarrow x_1$. It is easy to see that $EX = Y$, $E^2 = E$ and for all $x, y \in X$, $(Ex, y) = (x, Ey)$. Thus $E = E^* \in \mathcal{L}(X)$. The rest of the statement is obvious. \square

PROPERTY 2.7. If E, F and $E + F$ are projections, then $EF = FE = 0$.

Proof: Let $G = E + F$. By $G^2 = G$ we obtain $EF + FE = 0$, or $EF = -FE = -(EF)^*$. On the other hand, $EF = EEF = -EFE = -(EF)(EF)^*$. Thus $(EF)^* = -(EF)(EF)^* = EF$. It follows that $EF = (EF)^* = 0$. \square

PROPERTY 2.8. Let E, F be projections, $Y = EX$ and $Z = FX$. The following statements (i)-(v) and (a)-(d) are respectively equivalent:

- (i) $Y \perp Z$, (a) $Y \subset Z$,
- (ii) $EF = 0$, (b) $FE = E$,
- (iii) $FE = 0$, (c) $EF = E$,
- (iv) $EZ = 0$, (d) $F - E$ is a projection.
- (v) $FY = 0$;

Proof: We restrict ourselves to the implication (d) \Rightarrow (a) in this proof. If $F - E = G$ is a projection, then $EG = GE = 0$ by Proposition 2.7. Now, $x \in Y$ implies $Ex = x$ and so, by (i)-(v), $Gx = 0$. But $G = F - E$ and therefore $Fx = x$. \square

By the properties above we may identify the set of all projections with the set $\mathcal{B}(X)$ of all regular subspaces. We shall use the same symbol $\mathcal{B}(X)$ for both.

The next two properties follow directly from the definitions.

PROPERTY 2.9. If E_1, \dots, E_n are mutually orthogonal projections, then $E = \sum_{i=1}^n E_i$ is a projection and $E = \vee E_i$. \square

PROPERTY 2.10. Let $E \in \mathcal{B}(X)$ and $A \in \mathcal{L}(X)$; then $Y = EX$ is A -invariant if and only if $AE = EAE$. If Y is also A^* -invariant, then $AE = EA$. In particular, if A is hermitian or unitary, then Y is A -invariant if and only if $AE = EA$. \square

PROPERTY 2.11. *If $E, F \in \mathcal{B}(X)$, then $P = EF \in \mathcal{B}(X)$ if and only if $EF = FE$. In this case, $P = E \wedge F$.*

Proof: The first part of the assertion is obvious. If $P = EF \in \mathcal{B}(X)$, then $PE = P$ and $PF = P$. If $GE = G$ and $GF = G$, then $GP = G$ and so $P = E \wedge F$. \square

It follows from the above that $\mathcal{B}(X)$ is a non-trivial example of a partial Boolean algebra in the sense of Kochen and Specker (see [7], p. 183). A complementary statement to Property 2.11 is

PROPERTY 2.12. *If $E, F \in \mathcal{B}(X)$, then $P = E + F - EF$ is in $\mathcal{B}(X)$ if and only if $EF = FE$. In this case, $P = E \vee F$. \square*

Let us now observe that for $x \in X$ with $(x, x) \neq 0$, a projection onto the subspace spanned by x is given by

$$E_x y = \frac{(x, y)}{(x, x)} \cdot x.$$

Now, if Y is a non-degenerate, finite-dimensional subspace of X , then it is always possible to span Y by a finite sequence of vectors satisfying $(x_i, x_j) = 0$ if and only if $i \neq j$. A projection onto Y is then given by

$$E_y = \sum^n E_{x_i} y = \sum^n \frac{(x_i, y)}{(x_i, x_i)} \cdot x_i.$$

It follows that every non-degenerate, finite-dimensional subspace of X is regular.

PROPERTY 2.13. *If Y is non-degenerate and Y or Y^\perp finite-dimensional, then $Y \in \mathcal{B}(X)$. \square*

III. J -Spaces

This section deals with a very special, and most regular, class of self-dual vector spaces, J -spaces. We start directly with the definition.

DEFINITION 3.1. Let X be a self-dual space and let

$$\mathcal{J}(X) = \{J \in \mathcal{L}(X) : J = J^* = J^{-1} \text{ and } (x, y)_J = (x, Jy) \text{ is a Hilbert-space product on } X\}.$$

If $\mathcal{J}(X)$ consists of at least two different elements, then X is called a J -space. If $J \in \mathcal{J}(X)$, then the Hilbert space $\langle X, (\cdot, \cdot)_J \rangle$ is denoted by X_J . The norm in X_J is denoted by $\|\cdot\|_J$ and the adjoint in $\mathcal{L}(X_J)$ by A^J . We shall refer to J -topology, J -continuity, etc.

It can be easy to see that the most general J -space may be obtained in the following way: let X_1 and X_2 be two Hilbert spaces; then the algebraic direct sum $X = X_1 + X_2$ equipped with the scalar product $(x, y) = (x_1, y_1) - (x_2, y_2)$ is a J -space.

Remark. If X is a self-dual space and $\mathcal{J}(X)$ is empty, we have nothing to say. If $\mathcal{J}(X)$ consists of exactly one element, then (x, y) is a Hilbert-space scalar product from the very beginning. Conversely, if X is already a Hilbert space (when equipped with the (x, y)

form), then $\mathcal{J}(X) = \{I\}$. If however, there are at least two different elements in $\mathcal{J}(X)$, then there is at least a continuum.

PROPERTY 3.1. For every $J \in \mathcal{J}(X)$, $\mathcal{L}(X_J)$ and $\mathcal{L}(X)$ coincide. We have

$$A^* = JA^*J \quad \text{and} \quad A^J = JA^*J.$$

If $A = A^* \in \mathcal{L}(X)$, then A is J -hermitian if and only if $AJ = JA$. Conversely, if $A = A^J$, then A is hermitian if and only if A commutes with J . If A is unitary, then A is J -unitary if and only if $JAJ = A$. Conversely, a J -unitary operator A is unitary if and only if A and J commute. If $E \in \mathcal{B}(X)$, then E is a J -projection if and only if $EJ = JE$. Conversely, if E is a J -projection, then $E \in \mathcal{B}(X)$ is equivalent to $JE = EJ$. For every $J \in \mathcal{J}(X)$, J is unitary and hermitian on both X and X_J .

Proof: If $A \in \mathcal{L}(X)$, then $(Ax, y)_J = (Ax, Jy) = (x, A^*Jy) = (x, JA^*Jy)_J$. Thus $A \in \mathcal{L}(X_J)$ and $A^J = JA^*J$ (see Property 2.5). The converse follows in much the same way. The rest of the statement is simply a consequence of the relation $JA^J = A^*J$. \square

Remark. It follows from the statement above that we have, in fact, a unique concept of continuity for linear operators on X . We shall simply talk about continuous, or bounded operators.

PROPERTY 3.2. $\mathcal{L}(X)$ equipped with any J -norm is a Banach *-algebra.

Proof: Since $\mathcal{L}(X)$ and $\mathcal{L}(X_J)$ coincide as algebras, it follows that $\langle \mathcal{L}(X), \|\cdot\|_J \rangle$ is a Banach algebra. But J is unitary on X_J , so

$$\|A^*\|_J = \|JA^*J\|_J = \|A^J\|_J = \|A\|_J. \quad \square$$

PROPERTY 3.3. For each $J \in \mathcal{J}(X)$, the J -topology of X is compatible with the metric.

Proof: If f is J -continuous, then $f(x) = (y, x)_J = (y, Jx) = (Jy, x)$, or $f = f_J$. If f is weakly continuous, then $f(x) = (y, x) = (Jy, x)_J$ and so, f is J -continuous. \square

Remark. By Propositions 2.4 and 3.3 we may simply talk about closed or dense linear subspaces of X .

DEFINITION 3.2. In a J -space X we define

$$\mathcal{N}(X) = \{N \in \mathcal{L}(X) : N = N^*, N^{-1} \in \mathcal{L}(X) \text{ and } (x, Nx) \geq 0 \forall x \in X\}.$$

PROPERTY 3.4. If $N \in \mathcal{N}(X)$, then $(x, y)_N = (x, Ny)$ is a Hilbert-space scalar product on X . With $N \in \mathcal{N}(X)$ and $J \in \mathcal{J}(X)$, the two scalar products $(x, y)_N$ and $(x, y)_J$ are topologically equivalent.

Proof: With $N \in \mathcal{N}$ and $J \in \mathcal{J}$, we have $N \in \mathcal{L}(X_J)$ and so

$$\|x\|_N^2 = (x, Nx) = (x, JNx)_J \leq \|x\|_J^2 \cdot \|JN\|_J = \|N\|_J \cdot \|x\|_J^2.$$

On the other hand, $N^{-1} \in \mathcal{L}(X_J)$ and JN is J -positive. Thus

$$\|x\|_N^2 = (x, Nx) = (x, JNx)_J \geq \|N^{-1}\|_J^{-1} \cdot \|x\|_J^2. \quad \square$$

PROPERTY 3.5. $\mathcal{N}(X)$ is a convex subset of $\mathcal{L}(X)$. We have $\text{conv}(\mathcal{J}(X)) \subset \mathcal{N}(X)$. $\mathcal{J}(X)$ is closed and $\mathcal{N}(X)$ is open in a uniform topology of $\mathcal{L}_H(X)$. ($\mathcal{L}_H(X)$ is the set of all hermitian elements of $\mathcal{L}(X)$). There exists a mapping $N \rightarrow J_N$ from $\mathcal{N}(X)$ to $\mathcal{J}(X)$ with the following property: for every $A \in \mathcal{L}(X)$, if A commutes with N , then $AJ_N = J_NA$.

Proof: If $N_1, N_2 \in \mathcal{N}(X)$ then $(x, N_i x) \geq m_i \cdot \|x\|_J^2$ with $m_i > 0$. It follows that with $N = tN_1 + (1-t)N_2$ we have $(x, Nx) \geq m\|x\|_J^2$ with $m > 0$. Thus N is bicontinuous. Clearly, $\text{conv}(\mathcal{J}(X)) \subset \mathcal{N}(X)$ and also $\mathcal{N}(X)$ is open in $\mathcal{L}_H(X)$. If $J_n \in \mathcal{J}(X)$ and $J_n \rightarrow J$ uniformly, then $J^{-1} = J^* = J$ and $(x, Jx) \geq 0$. Thus $J \in \mathcal{J}(X)$. Finally, let $N \in \mathcal{N}(X)$ and $N = \int \lambda dE(\lambda)$ be a spectral decomposition of N on X_N . (It follows directly that N is hermitian on X_N .) Now every hermitian operator on X_N that commutes with N is hermitian on X . It follows that $E(\Delta)$ are in $\mathcal{H}(X)$. Clearly $0 \notin \text{Sp}(N)$. We define $E_+ = E(0, \infty)$ and $E_- = (-\infty, 0)$. By $E_+ + E_- = 1$ and $E_+ E_- = 0$ it follows that $J_N = E_+ - E_-$ satisfies $J_N = J_N^* = J_N^{-1}$. On the other hand, $(x, J_N x) \geq 0$. It follows that $J_N \in \mathcal{J}(X)$. Also J_N commutes with every operator commuting with N . \square

LEMMA 3.1. With $J, J' \in \mathcal{J}(X)$ the following statements hold

- (i) JJ' is positive on both X_J and $X_{J'}$.
- (ii) $t(J, J') = (JJ')^{1/2}$ is positive on $X_J, X_{J'}$ and unitary on X ; we have

$$t(J, J')^* = t(J, J')^{-1} = t(J', J).$$

- (iii) $t(J, J')$ commutes with every $A \in \mathcal{L}(X)$ that commutes with J and J' ; we have

$$t(J, J') J' t(J, J')^* = J.$$

- (iv) $\lambda \in \text{Sp} [t(J, J')]$ if and only if $\lambda^{-1} \in \text{Sp} [t(J, J')]$.

- (v) We have $\|t(J, J')\|_J^2 = \|t(J, J')\|_{J'}^2 = \|t(J', J)\|_{J'}^2 = \|t(J', J)\|_J^2 =$
 $= \sup \{ \|x\|_J^2 / \|x\|_{J'}^2 : x \neq 0 \} = \sup \{ \|x\|_{J'}^2 / \|x\|_J^2 : x \neq 0 \}.$

- (vi) If $\{x_\alpha\}$ is an orthonormal basis for $X_{J'}$, then $\{t(J, J') x_\alpha\}$ is an orthonormal basis for X_J .

Proof: We have $(x, JJ'x)_J = (x, J'x) = \|x\|_{J'}^2 \geq 0$ and $(x, JJ'x)_{J'} = (J'x, J'x)_J = \|J'x\|_J^2 \geq 0$. Now, $t(J, J') = (JJ')^{1/2}$ is defined by a series, positive on X_J and on $X_{J'}$. Let us observe that $t(J, J')^* = J t(J, J') J = J' t(J, J') J'$ is also J - and J' -positive and satisfies

$$t(J, J')^* t(J, J')^* = [t(J, J')^2]^* = J' J = t(J, J')^{-2}.$$

Therefore, $t(J, J')^* = t(J, J')^{-1} = t(J', J)$. Now, $t(J, J') J' = t(J, J') J' J J = t(J', J) J$, so (iii) holds. (iv) is an immediate consequence of $t(J, J')^{-1} = J t(J, J') J$. To prove (v) we use the formula for a norm of a positive operator on a Hilbert space:

$$\begin{aligned} \|t(J, J')\|_J^2 &= \|t(J, J')^2\|_J = \|JJ'\|_J = \sup \{ (x, JJ'x)_J / \|x\|_J^2 \} = \sup \{ (x, J'x) / \|x\|_J^2 \} \\ &= \sup \{ \|x\|_{J'}^2 / \|x\|_J^2 \}. \end{aligned}$$

On the other hand, since $t(J, J')^2$ is positive on both X_J and $X_{J'}$ the J - and J' -norms coincide and equal to the spectral radius of JJ' (=spectral radius of $J'J$). Finally, let $\{x_\alpha\}$ be an orthonormal basis for $X_{J'}$. Since $t(J, J')$ is bicontinuous, it follows that $\{t(J, J')x_\alpha\}$ is total. On the other hand

$$\begin{aligned} (t(J, J')x_\alpha, t(J, J')x_\alpha)_J &= (t(J, J')x_\alpha, Jt(J, J')x_\alpha) = (x_\alpha, t(J', J), Jt(J', J)^*x_\alpha) \\ &= (x_\alpha, J'x_\alpha) = (x_\alpha, x_\alpha)_{J'} = \delta_{\alpha\alpha'}. \quad \square \end{aligned}$$

DEFINITION 3.3. The common value in (v) which coincides with the spectral radius of JJ' is denoted by $v^2(J, J')$. We also define

$$d(J, J') = \log v(J, J').$$

PROPERTY 3.6. For all $J, J' \in \mathcal{F}(X)$, $x \in X$ and $A \in \mathcal{L}(X)$, the following inequalities hold:

- (i) $\|x\|_J \leq v(J, J') \cdot \|x\|_{J'}$, $\|x\|_{J'} \leq v(J, J') \cdot \|x\|_J$;
- (ii) $\|A\|_J \leq v^2(J, J') \cdot \|A\|_{J'}$, $\|A\|_{J'} \leq v^2(J, J') \cdot \|A\|_J$;
- (iii) $v(J, J') \geq 1$ and $v(J, J') = 1$ if and only if $J = J'$.

Proof: (i) follows directly from Lemma 3.1 (v), and (ii) is an immediate consequence of (i). (iii) follows from Lemma 3.1 (iv). \square

PROPERTY 3.7. $d(J, J')$ is a metric on $\mathcal{F}(X)$. We have

$$\begin{aligned} d(J, J') &= \frac{1}{2} \log(1 + \|J' - J\|_J), \\ \|J' - J\|_J &= \exp\{2d(J, J')\} - 1. \end{aligned}$$

Proof: By Lemma 3.1 and Property 3.6 we have $d(J, J') = d(J', J) \geq 0$ and $= 0$ iff $J = J'$. We also have

$$\begin{aligned} v^2(J, J') &= \sup\{(x, Jx)/(x, J'x)\} = \sup\{[(x, Jx)/(x, J''x)][(x, J''x)/(x, J'x)]\} \\ &\leq \sup\{(x, Jx)/(x, J''x)\} \cdot \sup\{(x, J''x)/(x, J'x)\} = v^2(J, J'') \cdot v^2(J'', J'). \end{aligned}$$

Thus $d(J, J') \leq d(J, J'') + d(J'', J')$. Finally, $\|J' - J\|_J = \|JJ' - 1\|_J = \|JJ'\|_J - 1 = v^2(J, J') - 1$ what completes the proof. \square

PROPERTY 3.8. The uniform and metric topologies on $\mathcal{F}(X)$ coincide. Uniformly bounded and metrically bounded subsets of $\mathcal{F}(X)$ coincide.

Proof: Straightforward from Property 3.7. \square

PROPERTY 3.9. $\mathcal{F}(X)$ is a complete metric space.

Proof: Follows from Property 3.8 and the fact that $\mathcal{F}(X)$ is uniformly closed in $\mathcal{L}(X)$. \square

PROPERTY 3.10. $\mathcal{J}(X)$ and d are invariant under the action of the unitary group $\mathcal{U}(X)$ of X . $\mathcal{U}(X)$ acts on $\mathcal{J}(X)$ transitively. Moreover, if $J, J' \in \mathcal{J}(X)$, then there exists $U(J, J') \in \mathcal{U}(X)$ such that

$$U(J, J')JU(J, J')^* = J' \quad \text{and} \quad U(J, J')J'U(J, J')^* = J;$$

$U(J, J')$ is given by

$$U(J, J') = t(J, J')J' = t(J', J)J = Jt(J, J') = J't(J', J)$$

and commutes with every $A \in \mathcal{L}(X)$ that commutes with both J and J' .

Proof: We have

$$d(VJV^*, VJ'V^*) = \frac{1}{2} \log \left(\sup \frac{(x, VJV^*x)}{(x, VJ'V^*x)} \right) = \frac{1}{2} \log \left(\sup \frac{(V^*x, JV^*x)}{(V^*x, J'V^*x)} \right) = d(J, J').$$

To prove that $U(J, J') = t(J, J')J'$ satisfies the requirements of the statement, we observe that

$$t(J, J')J' = Jt(J, J') = J'J't(J, J') = J't(J', J) = t(J', J)J$$

by Lemma 3.1 (iii) and the definition of t . We thus have $U(J, J')^* = U(J, J')$ and also

$$(x, U(J, J')x) = (x, Jt(J, J')x) = (x, t(J, J')x)_{J'} \geq v^{-1}(J, J') \cdot (x, x)_J.$$

It follows that $U(J, J') \in \mathcal{J}(X)$. \square

PROPERTY 3.11. If $J, J' \in \mathcal{J}(X)$, then J and J' commute if and only if $J = J'$.

Proof: If $JJ' = J'J$, then $t(J, J') = t(J, J')^{-1}$ and by Lemma 3.1 (iv) it follows that $t(J, J') = 1$ or, $JJ' = 1$. \square

PROPERTY 3.12. The classes: \mathcal{F}_c — of compact operators, \mathcal{F}_s — of Hilbert–Schmidt operators, and \mathcal{F}_t — of trace operators, coincide for different J in $\mathcal{J}(X)$. If $|\cdot|_J$ is a \mathcal{H} - \mathcal{S} norm for X_J , then

$$|A|_J \leq v^2(J, J') |A|_{J'}.$$

With $A, B \in \mathcal{F}_s$ we have

$$(A, B)_J = (t(J', J)At(J, J'), t(J', J)Bt(J, J'))_{J'}.$$

In particular, if A and B commute with JJ' , then $(A, B)_J = (A, B)_{J'}$. If $A \in \mathcal{F}_t$, then $\text{Tr}(A)_J = \text{Tr}(A)_{J'}$ for all $J, J' \in \mathcal{J}(X)$.

Proof: Follows immediately from the properties of t . \square

IV. Spectral decomposition for hermitian operators and related topics

We have seen in the last section that a unitary operator on X may have a purely real spectrum. Similarly, a hermitian operator may have a purely imaginary spectrum. It is

clear that for such operators we cannot expect any similarity to hermitian operators on a Hilbert space. On the other hand, even if the spectrum of a hermitian operator is real, this does not suffice for the existence of a spectral decomposition. We distinguish, in this section, a class of hermitian operators for which a satisfactory theory of spectral decomposition can be developed.

DEFINITION 4.1. Let \mathcal{A} be any subset of $\mathcal{L}(X)$; then \mathcal{A}' stands for the commutant of \mathcal{A} and $\mathcal{J}_{\mathcal{A}} = \mathcal{J} \cap \mathcal{A}'$. In particular, \mathcal{J}_A is the set of all J in $\mathcal{J}(X)$ that commute with A . A hermitian operator A is said to be elliptic provided $\mathcal{J}_A \neq \emptyset$.

LEMMA 4.1. Let \mathcal{A} be a family of hermitian (resp. unitary) operators in $\mathcal{L}(X)$. Then $\mathcal{J}_{\mathcal{A}} \neq \emptyset$ if and only if there exists a bicontinuous operator $W \in \mathcal{L}(X)$ and $J \in \mathcal{J}(X)$ such that all WAW^{-1} , $A \in \mathcal{A}$ are J -hermitian (resp. J -unitary).

Proof: If $\mathcal{J}_{\mathcal{A}} \neq \emptyset$ and $J \in \mathcal{J}_{\mathcal{A}}$, then all A in \mathcal{A} are hermitian (resp. unitary) on X_J . Conversely, assume WAW^{-1} is hermitian on X_J . By the polar decomposition theorem we may assume that W is J -positive. Then $N = JW^2$ is in $\mathcal{N}(X)$. It is easy to see that A commutes with N and so, by Property 3.5, $J_N \in \mathcal{J}_{\mathcal{A}}$. \square

DEFINITION 4.2. A Boolean algebra of projections on X is a subset \mathcal{B} of $\mathcal{B}(X)$ containing 0 and 1, which is a Boolean algebra under the operations $E \vee F = E + F - EF$ and $E \wedge F = EF$ (in particular, \mathcal{B} is commutative in $\mathcal{L}(X)$). \mathcal{B} is complete (σ -complete) if for every subset (sequence) $\{E_\alpha\} \subset \mathcal{B}$, the projections $\vee E_\alpha$ and $\wedge E_\alpha$ are in \mathcal{B} . A Boolean algebra \mathcal{B} is bounded if $\|E\|_J \leq M_J$ for all $E \in \mathcal{B}$ and some (equivalently: all) J in $\mathcal{J}(X)$.

PROPERTY 4.1. A Boolean algebra \mathcal{B} of projections is bounded if and only if $\mathcal{J}_{\mathcal{B}} \neq \emptyset$.

Proof: If $J \in \mathcal{J}_{\mathcal{B}}$, then $\|E\|_J = 1$ and so \mathcal{B} is bounded. Conversely, every bounded Boolean algebra of idempotents on a Hilbert space \mathcal{H} is similar to a Boolean algebra of \mathcal{H} -hermitian projections (see [4], p. 58). The statement follows thus by Lemma 4.1. \square

PROPERTY 4.2. Every bounded Boolean algebra of projections is contained in a complete Boolean algebra of projections.

Proof: If \mathcal{B} is bounded, then \mathcal{B} is a Boolean algebra of J -projections for $J \in \mathcal{J}_{\mathcal{B}}$. Now the set $\tilde{\mathcal{B}}$ of all projections in the weak closure of \mathcal{B} is a complete Boolean algebra of projections on X_J . On the other hand, by the property of a weak closure, we have $EJ = JE$ for all $E \in \tilde{\mathcal{B}}$ and so $\tilde{\mathcal{B}} \subset \mathcal{B}(X)$. \square

PROPERTY 4.3. Let \mathcal{B} be a σ -complete Boolean algebra of projections. Then $\mathcal{J}_{\mathcal{B}} \neq \emptyset$ and \mathcal{B} is bounded. For every sequence $\{E_n\} \subset \mathcal{B}$ (subset, if \mathcal{B} is complete) $E = \vee E_n$ is a projection onto the closed linear span of $\{E_n X\}$ and $F = \wedge E_n$ is a projection onto $\bigcap (E_n X)$. Observe that in general $\bigcap X_n \neq \wedge X_n!$

Proof: By a result of Bade every σ -complete Boolean algebra of projection on a Banach space is bounded ([1], Theorem 2.2). Given $J \in \mathcal{J}(X)$, X_J is a Banach space, so \mathcal{B} is bounded. By Property 4.1, $\mathcal{J}_{\mathcal{B}} \neq \emptyset$ and given $J \in \mathcal{J}_{\mathcal{B}}$, \mathcal{B} is a Boolean algebra of projections on a Hilbert space X_J . \square

PROPERTY 4.4. If \mathcal{B}_1 and \mathcal{B}_2 are bounded and $\mathcal{B}_1 \subset \mathcal{B}'_2$, then $\mathcal{I}_{\mathcal{B}_1} \cap \mathcal{I}_{\mathcal{B}_2} \neq \emptyset$. There exists a complete Boolean algebra of projections \mathcal{B} that contains both \mathcal{B}_1 and \mathcal{B}_2 .

Proof: Follows by a result of Wermer on Boolean algebras of idempotents (see [10], Theorem 1). \square

DEFINITION 4.3. A (real) *spectral measure* is a σ -homomorphism $E: \Delta \rightarrow E(\Delta)$ from a Boolean algebra of all Borel subsets of the real line into $\mathcal{B}(X)$. A spectral measure E is *compact* if $E(\Delta)$ vanishes outside some compact Δ_0 .

PROPERTY 4.5. Every spectral measure E is bounded and $\mathcal{I}_E \neq \emptyset$. $\Delta \rightarrow E(\Delta)x$ is strongly continuous for all x in X .

Proof: It is sufficient to notice that the range of E is a σ -complete Boolean algebra of projections. \square

PROPERTY 4.6. Let $\Delta \rightarrow E(\Delta)$ be a spectral measure and let f be a continuous complex function on R . If E is compact, then the integral $A(f) = \int f(\lambda) dE(\lambda)$ exists in the uniform topology of $\mathcal{L}(X)$ and

$$\| \int f(\lambda) dE(\lambda) \|_J \leq \sup_{\lambda \in \Delta_0} |f(\lambda)| \cdot M_{E,J}.$$

If f is real, then $A(f)$ is elliptic. There exists $J \in \mathcal{I}(X)$ commuting with all $A(f)$.

Proof: Follows by Property 4.5 and a corresponding Hilbert-space theorem. \square

PROPERTY 4.7. If A is elliptic, then there exists a unique spectral measure E such that $A = \int \lambda dE(\lambda)$.

Proof: If $J \in \mathcal{I}_A$, then A is J -hermitian. Its spectral resolution on X , commutes with J and so, is a spectral measure according to Definition 4.3. \square

We have thus established a one-to-one correspondence between spectral measures and elliptic operators in $\mathcal{L}(X)$. It is possible to generalize it for unbounded operators. We shall not deal with these problems. (The reader is referred to the paper of Jalava [6a] where a generalization of Property 4.7 is proved for the unbounded case.) Let us observe that a simplest hermitian operator with \mathcal{I}_A empty is of the following form

$$A_x y = (x, y)x, \quad (x, x) = 0.$$

A_x is a nilpotent, its spectrum consists of one point only, $\lambda = 0$.

DEFINITION 4.4. A semigroup $\{V\}$ of unitary operators on X is *bounded* if $\|V\|_J$ are bounded or, equivalently, if the orbits of $\{V\}$ on $\mathcal{I}(X)$ are bounded.

PROPERTY 4.8. With $A = A^* \in \mathcal{L}(X)$, the following conditions are equivalent:

- (i) A is elliptic,
- (ii) $\{e^{tA}\}$ is bounded,
- (iii) for some (equivalently every) $J \in \mathcal{I}(X)$, $\sup_{n, \lambda > 0} \lambda^n \| (A - \lambda I)^n \|_J < \infty$.

Proof: (i) implies (ii) and (ii) is equivalent to (iii) by [8], Theorem 12.3.1. If (ii) holds, then $V = e^{iA}$ satisfies $\|V^n\|_J < M_J$, $n = \pm 1, \pm 2, \dots$. It follows, by a theorem due to Nagy [9] that V is similar to a J -unitary operator. The statement follows now by Lemma 4.1. \square

PROPERTY 4.8. *If A_1, \dots, A_n are elliptic and commute, then $\bigcap \mathcal{I}_{A_i} \neq \emptyset$.*

Proof: Follows immediately by Property 4.4. \square

The rest of this section we devote to some remarks connected with unitary group representations on X . We assume that there is given a locally compact group G and a unitary representation $g \rightarrow V_g$ of G on X . Now, if $g \rightarrow V_g$ is weakly continuous, then it is weakly continuous on each X_J . It follows that $g \rightarrow V_g$ is strongly continuous (see [4], p. 57). We assume that $g \rightarrow V_g$ is continuous.

PROPERTY 4.9. *If G is amenable and $\{V_g\}$ is bounded, then $\mathcal{I}_G \neq \emptyset$.*

Proof: By [3], Theorem 3.4.1, $\{V_g\}$ is similar to a group of unitary operators on X_J . The statement follows from Lemma 4.1. \square

As a corollary we obtain Phillips result [8], Theorem 6.1

PROPERTY 4.10. *If \mathcal{A} is an abelian $*$ -subalgebra of $\mathcal{L}(X)$ and $\sup\{\|A\|_J : A \in \mathcal{A}\} < \infty$, then $\mathcal{I}_{\mathcal{A}} \neq \emptyset$.*

Proof: Clearly, the uniform closure $\bar{\mathcal{A}}$ of \mathcal{A} also satisfies the assumptions. The unitary group of $\bar{\mathcal{A}}$ is amenable and bounded. The statement follows by Property 4.9. \square

LEMMA 4.2. *Assume that G is compact and $g \rightarrow V_g$ continuous. Then $\{V_g\}$ is bounded.*

Proof: Suppose $\|V_{g_n}\|_J \rightarrow \infty$. Since G is compact, we may assume that $V_{g_n} \rightarrow I$ strongly and $\|V_{g_n}\|_J \rightarrow \infty$. Clearly, $V_{g_n}^* \rightarrow I$ strongly and $\|V_{g_n}^*\|_J = \|V_{g_n}\|_J \rightarrow \infty$. Let us choose a sequence $x_n \in X$ such that $\|x_n\|_J = 1$ and $\|V_{g_n} x_n\|_J \rightarrow \infty$. By the weak compactness of the unit ball of X_J , we may assume that $x_n \rightarrow x_0$ weakly. It follows that $\|x_n - x_0\| < M$. Let $y \in X$ be arbitrary. We have $(V_{g_n} x_n, y)_J = (V_{g_n} x_n, Jy) = (x_n, V_{g_n}^* Jy)$. Now, $V_{g_n}^* Jy \rightarrow Jy$ strongly and $x_n \rightarrow x_0$ weakly. Thus $(x_n, V_{g_n}^* Jy) \rightarrow (x_0, Jy) = (x_0, y)_J$. It follows that $V_{g_n} x_n \rightarrow x_0$ weakly. This is a contradiction with $\|V_{g_n} x_n\|_J \rightarrow \infty$. \square

PROPERTY 4.11. *If G is compact, then $\mathcal{I}_G \neq \emptyset$.*

DEFINITION 4.5. We say that $g \rightarrow V_g$ is *irreducible* if the only projections (or regular subspaces) invariant under G are trivial ones : 0 or I .

PROPERTY 4.12. *Every continuous unitary representation of a compact group G on X is reducible.*

Proof: By Property 4.11, $JV_g = V_g J$ for some $J \in \mathcal{I}(X)$. But $E = \frac{1}{2}(J+1)$ is a projection. \square

V. Some unsolved problems

(A) What is the structure of the commutant of $\mathcal{I}(X)$? Is it trivial? Or, more generally, given a subset $J_0 \subset \mathcal{I}(X)$, how does J_0' look? In particular, what can be said about hermitian

operators commuting with two different J 's? (It is easily seen that $JJ' + J'J$ is hermitian and commutes with J and with J' .)

(B) What are the characteristic properties that fix the form of $t(J, J')$ and $U(J, J')$? Consider a triple $J, J', J'' \in \mathcal{J}(X)$. Does $t(J, J')t(J', J'') = t(J, J'')$ hold? If not, then what can be said about $R_{J, J', J''} = t(J, J')t(J', J'')t(J'', J)$? It is easily seen that $R_{J, J', J''}$ commutes with J . What is the behaviour of $R_{J, J', J''}$ if $J, J', J'' \rightarrow J_0$.

(C) What are the Banach $*$ -algebras, which have a faithful $*$ -representation on a J -space? It follows directly that a Banach $*$ -algebra with an algebraic, norm-preserving automorphism α satisfying $\alpha^2 = 1$ and $\|\alpha(A^*)A\| = \|A\|^2$ is such an algebra. Is it possible to characterize all uniformly closed $*$ -subalgebras of $\mathcal{L}(X)$? It can be shown that every positive linear form f on $\mathcal{L}(X)$ vanishes on all finite-dimensional projections. If f is strongly continuous, then f vanishes on all projections. Does it follow that f vanishes on $\mathcal{L}(X)$?

(D) Classify irreducible continuous representations of the Poincaré group on X . Does every reducible representation of this group decompose into irreducible ones? Do there exist irreducible representations with non-elliptic generators of translations? Does every irreducible representation of the Poincaré group have a maximal, positive, invariant subspace?

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